



## INTRODUCTION

Elevation Wealth Partners, LLC, is registered as an investment advisor with the United States Securities and Exchange Commission (SEC). There are many different ways you can get help with your investments. You should carefully consider which types of accounts and services are right for you. **We are an investment adviser and as such, serve as a fiduciary, providing investment advisory services rather than brokerage account services.** This document provides a summary of the types of services we provide and the fees associated with them. Please ask us for more information. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about investment advisors, broker-dealers, and investing.

### CONVERSATION STARTERS

- “Given my financial situation, should I choose an investment advisory service? Why or why not?”
- “How will you choose investments to recommend to me?”
- “What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?”

## WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

We are a registered investment advisor offering investment advisory services to individuals, high net worth individuals, trusts, and estates (our “retail investors”). Our services include Asset Management, Financial Planning, and Investment Consulting.

- **Accounts.** We manage taxable and tax-deferred accounts as well as institutional accounts.
- **Investments.** We invest in mutual funds, exchange traded funds, stocks, and bonds.
- **Monitoring.** Clients’ accounts, including the performance of individual investments, are reviewed at least four times a year to determine if the accounts are on track to meet the client(s) needs. When necessary, accounts are reviewed and rebalanced more frequently. If we believe that a particular investment is underperforming or if a different investment better meets a particular client’s needs, we will replace it. Account monitoring is a part of our standard services.
- **Investment Authority.** We execute investment recommendations and specific transactions, in accordance with your investment objectives, with or without your prior approval, based upon discretionary or nondiscretionary account management status. Clients will have the opportunity to place reasonable restrictions on the types of investments which will be made on the client’s behalf.
- **Limited Investment Offerings.** We do not make available or offer advice on proprietary products or strictly limited menus of products or types of investments.

### CONVERSATION STARTERS

- “Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”
- “How do your financial professionals make money?”
- “How else does your firm make money and what conflicts of interest do you have?”
- “What are your legal obligations to me when acting as my investment adviser?”

## WHAT FEES WILL I PAY?

Your primary fees are “asset-based” – a percentage of the assets invested in your advisory account ranging from 0.25% - 1.00% per year. These are generally paid quarterly and always according to the fee schedule in your advisory agreement with us. You may also pay miscellaneous fees to your account’s record-keeper or custodian including wire fees, transfer fees, bank charges and other fees, as well as fees and expenses included in the expense ratios of certain investments such as mutual funds and exchange traded funds. It is important that you understand what fees and costs you are paying. These will reduce the amount of money earned on your investments over time. Additionally, you will pay fees and costs whether you make or lose money on your investments. For more detailed information on our Fees and Compensation see Item 5 – Fees and Compensation on our Form ADV Part 2A.

## WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISOR? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

When we act as your investment adviser, we are required to act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide for you. For example, even though our fee schedule declines as a client’s account balance(s) increase, the more assets in your advisory account, the more you will pay in fees, and therefore the firm may have an incentive to encourage you to increase the assets in your account.

## HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

As a company, we earn money through wealth management fees. Our advisors are compensated with a combination of base salary and a portion of firm revenue, as well as employee benefits customary for financial professionals. An advisor’s base salary and a portion of firm revenue are determined by considering many factors, including the amount of client assets they manage, the time and complexity required to meet client needs, as well as the firm revenue associated with the advisor’s client relationships. Therefore, the more the assets in your account(s) increase, the greater the amount of fees we collect.

## DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE A LEGAL OR DISCIPLINARY HISTORY?

No. The firm and its employees have not been involved in any legal or disciplinary events related to past or present investment clients including civil or criminal actions, or administrative proceedings before the SEC or self-regulatory organizations. Please visit [Investor.gov/CRS](https://www.investor.gov/CRS) for a free and simple search tool to research your financial professionals.

### CONVERSATION STARTERS

- As a financial professional, do you have any disciplinary history? For what type of conduct?
- Who is my primary contact person? Is s/he a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how my contacts at your firm are treating me?
- How does your firm make money and what conflicts of interest do you have?

## ADDITIONAL INFORMATION

This *Client Relationship Summary* (“Form CRS/ADV Part 3”) brochure provides information about the qualifications and business practices of Elevation Wealth Partners, LLC. If you have any questions about the contents of this document, would like to receive up-to-date information about our advisory service and/or request the latest copy of this document, please contact us at (925) 962-5600 or (707) 524-6131. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Registration with the Securities and Exchange Commission does not imply a certain level of skill or training. Additional information about Elevation Wealth Partners is also available on the SEC’s website at [www.adviserinfo.sec.gov](https://www.adviserinfo.sec.gov).